

TECH CENTER 1600/2900

NOV 02 2001  
Attorney's Docket No. 002016-685

RECEIVED



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of )  
 Audia, et al. ) Group Art Unit: 1624  
 Application No.: 09/882,777 ✓ ) Examiner: Not yet assigned  
 Filed: June 14, 2001 )  
 For: POLYCYCLIC- $\alpha$ -AMINO- $\epsilon$ - )  
 CAPROLACTAMS AND RELATED )  
 COMPOUNDS )

**REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT**

Assistant Commissioner for Patents  
 Office of Initial Patent Examination  
 Customer Service Center  
 Washington, D.C. 20231

Sir:

Enclosed is a copy of the Official Filing Receipt requesting correction. Specifically, the present application is a CIP of 09/337,408, filed June 21, 1999 which claims benefit of 60/160,066, filed June 22, 1998. The Filing Receipt shows the parent application as also claiming the benefit of 60/019,790, filed June 14, 1996, which it does not and could not.

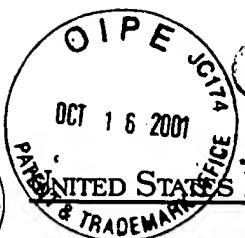
Issuance of a corrected Official Filing Receipt is respectfully requested.

Respectfully submitted,  
 BURNS, DOANE, SWECKER & MATHIS, L.L.P.

By: Lawrence S. Squires  
 Lawrence S. Squires  
 Registration No. 24,060

P.O. Box 1404  
 Alexandria, Virginia 22313-1404  
 (650) 622-2300

Date:



UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED  
NOV 02 2001  
Page 1 of 3  
TECH CENTER 1600/2900

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/882,777	06/14/2001	1624	1466	002010-345 (85)		62	3

CONFIRMATION NO. 1280

FILING RECEIPT



\*OC000000006341472\*

Gerald F. Swiss, Esq.  
BURNS, DOANE, SWECKER & MATHIS, L.L.P.  
P.O. Box 1404  
Alexandria, VA 22313-1404

Date Mailed: 07/25/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

James E. Audia, Indianapolis, IN;  
Thomas E. Mabry, Indianapolis, IN;  
Jeffrey A. Nissen, Indianapolis, IN;  
Stacey L. McDaniel, Indianapolis, IN;  
Warren J. Porter, Indianapolis, IN;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 09/337,408 06/21/1999 \*  
— WHICH CLAIMS BENEFIT OF 60/160,066 06/22/1998 ABN  
AND CLAIMS BENEFIT OF 60/019,790 06/14/1996  
(\*) Data inconsistent with PTO records.

Foreign Applications

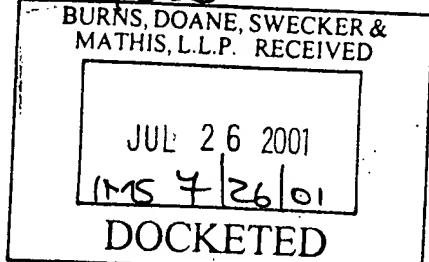
If Required, Foreign Filing License Granted 07/25/2001

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

Title



Elan Pharmaceutical, Inc

7/30→LSS

FILE COPY



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov



Bib Data Sheet

CONFIRMATION NO. 1280

SERIAL NUMBER 09/882,777	FILING DATE 06/14/2001 RULE	CLASS 540	GROUP ART UNIT 1624	ATTORNEY DOCKET NO. 002010-685
-----------------------------	-----------------------------------	--------------	------------------------	--------------------------------------

## APPLICANTS

James E. Audia, Indianapolis, IN;  
 Thomas E. Mabry, Indianapolis, IN;  
 Jeffrey A. Nissen, Indianapolis, IN;  
 Stacey L. McDaniel, Indianapolis, IN;  
 Warren J. Porter, Indianapolis, IN;

TECH CENTER 1600/2800

NOV 02 2001

RECEIVED

## \*\* CONTINUING DATA \*\*\*\*\*

THIS APPLICATION IS A CIP OF 09/337,408 06/21/1999  
 WHICH CLAIMS BENEFIT OF 60/160,066 06/22/1998 ABN

## \*\* FOREIGN APPLICATIONS \*\*\*\*\*

## IF REQUIRED, FOREIGN FILING LICENSE GRANTED

\*\* 07/25/2001

Foreign Priority claimed	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no
35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after
Verified and Acknowledged	Allowance Examiner's Signature Initials
	STATE OR COUNTRY IN
	SHEETS DRAWING
	TOTAL CLAIMS 62
	INDEPENDENT CLAIMS 3

## ADDRESS

Gerald F. Swiss, Esq.  
 BURNS, DOANE, SWECKER & MATHIS, L.L.P.  
 P.O. Box 1404  
 Alexandria, VA 22313-1404

## TITLE

Polycyclic alpha-amino-epsilon-caprolactams and related compounds

FILING FEE RECEIVED 1596	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit
-----------------------------	---	---